



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification<sup>6</sup>:

G02C 7/02

A1

(11) International Publication Number:

WO 97/26579

(43) International Publication Date:

24 July 1997 (24.07.97)

(21) International Application Number: PCT/US97/00059

(22) International Filing Date: 7 January 1997 (07.01.97)

(30) Priority Data:

08/588,977

19 January 1996 (19.01.96)

US

(71) Applicant: SOLA INTERNATIONAL INC. [US/US]; 2420  
Sand Hill Road, Menlo Park, CA 94025 (US).(72) Inventor: WINTHROP, John, T.; 563 Pine Hollow Road,  
Stevensville, MT 59870 (US).(74) Agent: CANTOR, Michael, A.; Fishman, Dionne & Cantor, 88  
Day Hill Road, Windsor, CT 06095 (US).(81) Designated States: AU, BR, CA, CN, JP, MX, European patent  
(AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU,  
MC, NL, PT, SE).

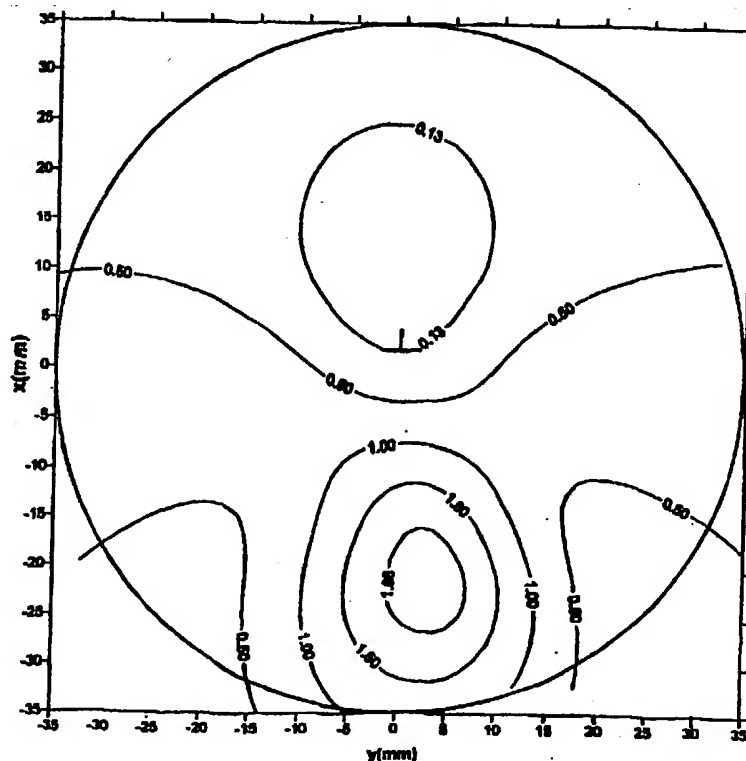
## Published

*With international search report.**Before the expiration of the time limit for amending the  
claims and to be republished in the event of the receipt of  
amendments.*

(54) Title: HARD/SOFT SUPERPOSITION PROGRESSIVE LENS DESIGN

## (57) Abstract

A progressive ophthalmic lens is presented which is a linear composite of a hard lens design and a soft lens design resulting in a composite lens which combines features of the visual utility of a hard lens design with the visual comfort of a soft lens design.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

### HARD/SOFT SUPERPOSITION PROGRESSIVE LENS DESIGN

#### Background of the Invention:

This invention relates to progressive ophthalmic lenses. More particularly, this invention relates to a new and improved progressive lens design having desirable features of both hard and soft progressive lens designs.

5           It is commonplace in the field of progressive lenses to refer to hard and soft progressive lens designs. The hard design, reminiscent of the common trifocal, features a spherical distance portion (DP) spanning the width of the lens and a large spherical reading portion (RP), the two zones being connected by an umbilic corridor of progressive dioptric power. The inherent and unavoidable aberrations, i.e.,  
10           astigmatism, of the hard design are concentrated in the peripheral zones bordering the corridor and spherical RP. Because the aberrations are concentrated in relatively small areas of the lens, their magnitude and rate of variation are highly noticeable to the wearer, giving rise to the term "hard" progressive. In the hard design, maximum distance and reading utility are obtained at the expense of overall visual comfort  
15           resulting from concentration of all of the astigmatism in the peripheral zones bordering the umbilic corridor and the spherical reading portion. A typical prior art hard lens design is shown in U.S. Patent 4,056,311, the entire contents of which are incorporated herein by reference.

-2-

The soft design lessens the visual impact of the inherent aberrations by allowing them to extend into the lateral areas of the DP and by reducing or minimizing the width of the RP. The soft design may be entirely aspherical in both the distance and reading portions. The magnitude and rate of change of the aberrations of such a design are markedly lower than those of the hard design, giving rise to the term "soft" progressive. In the soft design, enhanced visual comfort is obtained at the expense of reduced acuity in the peripheral areas of the DP and RP. Perhaps the ultimate soft design is disclosed in applicant's prior U.S. Patent 4,861,153, the entire contents of which are incorporated herein by reference.

#### Summary of the Invention:

Based on the foregoing, it can be seen that the design of progressive lenses can be considered one of trade-off and compromise. For maximum distance and reading utility the hard design is indicated, whereas for maximum comfort one chooses a soft design. From a mathematical perspective, the hard and soft designs represent the endpoints of a continuous spectrum of possible designs distinguished from each other by differing degrees of hardness. A design of intermediate hardness might be obtained, for example, merely by selecting a spherical DP whose size is intermediate between those of the pure hard and pure soft designs. The algebraic description of a typical surface of addition value  $A$  (i.e., the power add of the lens) in the design continuum can be written in the functional form

$$(1) \quad z^{(A)} = f^{(A)}(x, y, p)$$

where  $z$  denotes the sag (elevation) of the progressive surface above the  $x$ - $y$  plane at the point  $(x, y)$  and  $p$  stands for a continuously variable parameter or set of parameters (DP and RP size, for example) whose value controls the degree of hardness. Let  $p_H$  and  $p_S$  denote the parameter sets corresponding to the pure hard and pure soft (endpoint) designs. The functional forms of these two limiting cases are then given by

$$(2) \quad z_H^{(A)} = f^{(A)}(x, y, p_H)$$

and

$$(3) \quad z_S^{(A)} = f^{(A)}(x, y, p_S)$$

respectively.

-3-

With such an intermediate design, one might hope to capture some of the advantages of both the hard and soft designs while reducing their disadvantages. However, this is a relatively simplistic approach, and it does not achieve the benefits that can be achieved by the present invention.

5 In accordance with the present invention, a new design is disclosed for a composite lens in which aspects of hard and soft lens designs are combined in linear superposition to form the composite lens.

Description of the Drawings:

10 FIGURES 1 and 2 show, respectively, the surface astigmatism and mean power plots for a prior art hard lens design having a 2.0 D add.

FIGURES 3 and 4 show, respectively, the surface astigmatism and mean power plots for a prior art soft lens design having a 1.25 D add.

FIGURES 5 and 6 show, respectively, the surface astigmatism and mean power plots for a prior art hard lens design having a 0.75 D add.

15 FIGURES 7 and 8 show, respectively, the theoretical surface astigmatism and power plots for a composite lens of this invention having an overall add of 2.0 D.

FIGURES 9 and 10 show, respectively, the theoretical surface astigmatism and power plots for a prior art hard lens design having a 3.0 D add.

20 FIGURES 11 and 12 show, respectively, surface astigmatism and power plots for a prior art hard lens design having a 1.75 D add.

FIGURES 13 and 14 show, respectively, the theoretical surface astigmatism and power plots for a composite lens of this invention having a 3.0 D add.

FIGURES 15 and 16 show, respectively, the surface astigmatism and power plots for an actual lens of this invention having a 2.0 D add.

25 Description of the Preferred Embodiment:

In accordance with the present invention, composite progressive lenses are produced having an intermediate degree of hardness. The design of the present invention results in a composite lens having the functional advantages of the pure hard design but exhibiting reduced peripheral aberration (astigmatism). Rather than

selecting an intermediate design from the continuum of designs represented by Equation (1), the present invention achieves a composite design, comprised of a linear superposition of the hard and soft endpoint designs represented by Equations (2) and (3). The elevation  $z_C^{(A)}$ , of the composite lens above the x,y plane is given by

$$5 \quad (4) \quad z_C^{(A)} = z_H^{(A-B)} + z_S^{(B)} - z_H^{(0)}$$

In the composite, the hard component has addition  $A - B$  and the soft component has addition  $B$ , the combination of which yields a composite design of addition  $A$ . To avoid a doubling of the composite elevation due to the base curves of the hard and soft components, one of the base curves, here represented by a hard design of zero add, is subtracted from the linear superposition.

The present invention also makes use of a threshold effect, which is that the eye will not notice aberrations (astigmatism) below a certain level, especially if the aberrations are peripherally located. Accordingly, in carrying out the present invention there may be assigned to the soft component the highest addition value possible consistent with unnoticability of the associated peripheral DP aberrations. For most wearers, the DP aberrations associated with a soft design of addition of  $B \leq 1.25$  D will be virtually unnoticeable.

The following examples set forth practical examples of lenses constructed in accordance with the present invention.

20 **EXAMPLE 1**

An embodiment of the composite design having an overall addition value of 2.00 D will now be described. For purposes of comparison, the surface astigmatism and mean power of a pure hard design of addition 2.00 D is shown in FIGURES 1 and 2; the pure hard design is characterized by a spherical DP and is to be considered prior art. The composite design will be composed of a linear superposition of (a) a soft component of addition 1.25 D, whose astigmatism and mean power characteristics are depicted in FIGURES 3 and 4; and (b) a pure hard component of addition 0.75 D, whose astigmatism and mean power characteristics are shown in FIGURES 5 and 6. Note that the hard component has a spherical DP and is in fact an 0.75 D add version of

-5-

the lens depicted in FIGURES 1 and 2. The astigmatism and mean power characteristics of the composite design are shown in FIGURES 7 and 8. A comparison of FIGURES 7 and 1 shows that the contours of 0.50 D astigmatism of the composite and the pure hard designs are nearly coincident. This means that the utility of the DP and RP of the composite design matches that of the pure hard design. However, as indicated in Table 1, the maximum surface astigmatism of the pure hard design is 2.93 D, whereas the maximum surface astigmatism of the composite design is only 1.89 D, an astigmatism reduction of 35.5%. Notice, too, that the astigmatism and mean power gradients of the composite design are more gradual than those of the pure hard design, thus making the composite design the more comfortable design and the easier one to adapt to. This leads to the conclusion that the composite design principle achieves the stated objective of reducing aberration over that of the prior art spherical DP design while retaining the latter's DP and RP utility.

#### EXAMPLE 2

A second example of the invention will now be given for a composite lens having an overall addition of 3.00 D. The astigmatism and mean power characteristics of a pure hard design lens of 3.00 D addition are shown in FIGURES 9 and 10. The composite design will be composed of (a) the same soft component of addition 1.25 D as was used in the previous example and whose astigmatism and mean power characteristics are depicted in FIGURES 3 and 4; and (b) a pure hard component of addition 1.75 D, see FIGURES 11 and 12. The astigmatism and mean power characteristics of the composite lens are shown in FIGURES 13 and 14. A comparison of FIGURES 13 and 9 shows that the contours of 0.50 D astigmatism are nearly coincident, meaning that, as in the case of the previous example, the DP and RP utility of the composite design compares favorably with that of the pure hard design. Moreover, as indicated in Table 1, the maximum astigmatism of the pure hard design is 4.42 D, whereas that of the composite design is only 3.22 D, an astigmatism reduction of 27.1%. As in the case of the previous example, the reduced astigmatism and power

-6-

gradients of the composite design make it the more comfortable one and the easier one to adapt to.

Table 1, below, sets forth a summary of the astigmatism characteristics of the lenses of Examples 1 and 2.

5

Example	Addition A of soft component	Addition B of soft component	Maximum astigmatism of composite design	Maximum/astigmatism of hard lens of Addition A	Reduction of astigmatism relative to hard design of Addition A	% reduction
1	2.00	1.25	1.89	2.93	1.04	35.5
2	3.00	1.25	3.22	4.42	1.20	27.1

10 An approximate formula can be stated for the maximum astigmatism achieved in a composite design having a soft component of addition B. The maximum astigmatism contributed by the soft component alone is approximately 0.75 B, whereas that contributed by the hard component is approximately 1.5(A-B). Hence the total maximum astigmatism of the composite design is

$$(5) \quad \text{astig(max)} = 1.5A - 0.75B$$

15 and the reduction of astigmatism relative to that of a hard design of addition A is thus 0.75B. Equation (5) assumes that the astigmatism maxima associated with the hard and soft components alone occur at the same point of the composite progressive surface. It is easily seen that the astigmatism values of Table 1 conform approximately to Equation (5).

20 Note that if the soft component of a composite design series is the same for all additions of the series, then the ratio of the maximum astigmatism to the addition varies with the addition. In current parlance the superposition design principle yields a multi-design lens series.



**EXAMPLE 3**

An actual composite lens according to this invention was constructed having an overall addition value of 2.00 D. This composite lens is composed of a linear superposition of (a) a soft component of addition 0.80 D and (b) a hard component of addition 1.2 D.

FIGURES 15 and 16 show the astigmatism and power plots of the actual lens of Example 3. Pertinent data derived from these plots are:

Distance portion width at level of MRP between branches of 0.50 mean power curve: 25.6 mm

Distance portion width at level of MRP between 0.50 and 1.00 astigmatism curves, respectively: 12.6 mm and 36.0 mm, respectively.

Intermediate portion width at level 7 mm below MRP between 0.50 and 1.00 astigmatism curves, respectively: 3.7 mm and 9.3 mm, respectively.

Reading portion width at level 22 mm below MRP between 0.50 and 1.00 astigmatism curves, respectively: 11.07 mm and 18.0 mm, respectively.

Corridor length from MRP to 1.87 D power line: 19.0 mm

Maximum nasal astigmatism: 1.90 D

Gradient of astigmatism by 6 point method and 12 point method, respectively: 0.17 D/mm and 0.14 D/mm, respectively.

The astigmatism plot shows very little astigmatism above the 0-180 degree line, a characteristic normally found only in the hard progressive designs. On the other hand, the maximum surface astigmatism is less than 2.00 D and the gradient of astigmatism is less than 0.20 D/mm, values characteristic of soft progressives. Thus the superposition design of this invention can be said to provide the utility of a hard progressive and the comfort of a soft design while minimizing the disadvantages of the pure hard and soft designs.

Of course the lens of Example 3 is asymmetrical with respect to the corridor meridian to ensure binocular compatibility of the lens pair, as should be the case with any lens of this invention.

-8-

While preferred embodiments have been shown and described, various modifications and substitutions may be made thereto without departing from the spirit and scope of the invention. Accordingly, it is to be understood that the present invention has been described by way of illustrations and not limitation.

5

What is claimed is:

-9-

CLAIM 1. A progressive ophthalmic lens having:  
a distance portion, a reading portion and an intermediate portion;  
the lens having a composite progressive power surface  $z_c$  defined by the  
equation:

5

$$z_c^{(A)} = z_H^{(A-B)} + z_s^{(B)} - z_H^{(0)}$$

where:

- $z_c$  = elevation of the progressive power surface above a reference plane;
- $z_H$  = elevation of the progressive power surface above said reference plane for a first design component of the lens;
- 10  $z_s$  = elevation of the progressive power surface above said reference plane for a second design component of the lens;
- $z_0$  = elevation of the base curve above said reference plane for one of said design components;
- 15  $A$  = the power addition of the composite lens; and
- $B$  = the power addition of said second design component.

CLAIM 2. The progressive ophthalmic lens of claim 1 wherein:  
the power addition  $B$  is not more than 1.25 diopters.

CLAIM 3. The progressive ophthalmic lens of claim 1 wherein:  
said first design component is a hard design component, and said second design component is a soft design component.

-10-

CLAIM 4. The method of defining a composite progressive power surface of a progressive ophthalmic lens having a distance portion, a reading portion, and an intermediate portion, including the steps of:

- 5 defining a first design component of addition A - B,
- defining a second design component of addition B, and
- defining a composite progressive power surface  $z_c$  according to the equation:

$$z_c^{(A)} = z_H^{(A-B)} + z_S^{(B)} - z_H^{(0)}$$

where:

- 10  $z_c$  = elevation of the progressive power surface above a reference plane;
- $z_H$  = elevation of the progressive power surface above said reference plane for a first design component of the lens;
- $z_S$  = elevation of the progressive power surface above said reference plane for a second design component of the lens;
- 15  $z_0$  = elevation of the base curve above said reference plane for one of said design components;
- A = the power addition of the composite lens; and
- B = the power addition of said second design component.

CLAIM 5. The method of claim 4 wherein:  
the power addition B is not more than 1.25 diopters.

CLAIM 6. The method of claim 4 wherein:  
said first design component is a hard design component, and said second design component is a soft design component.

1/16

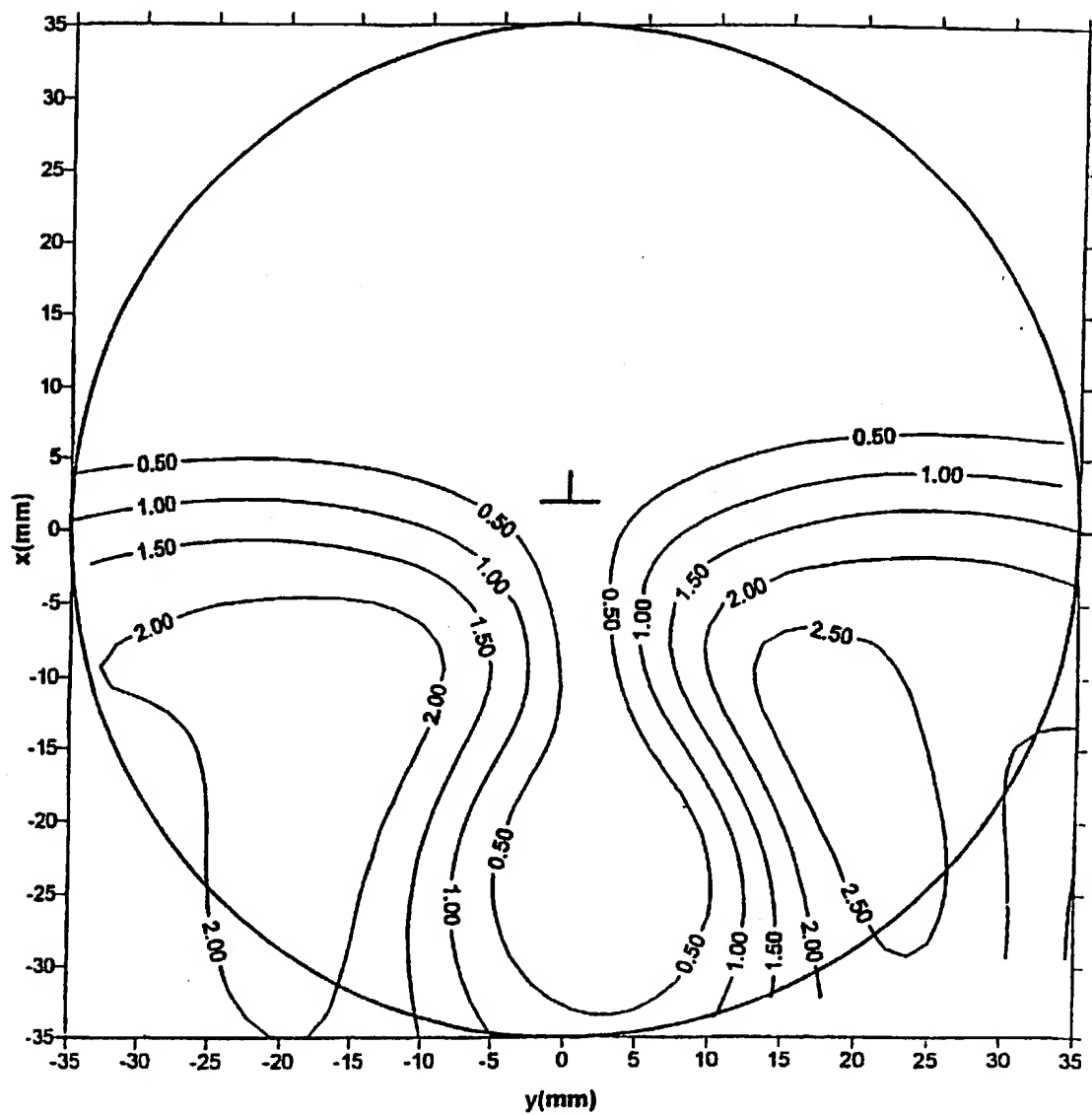


FIG. 1

SUBSTITUTE SHEET (RULE 26)

2/16

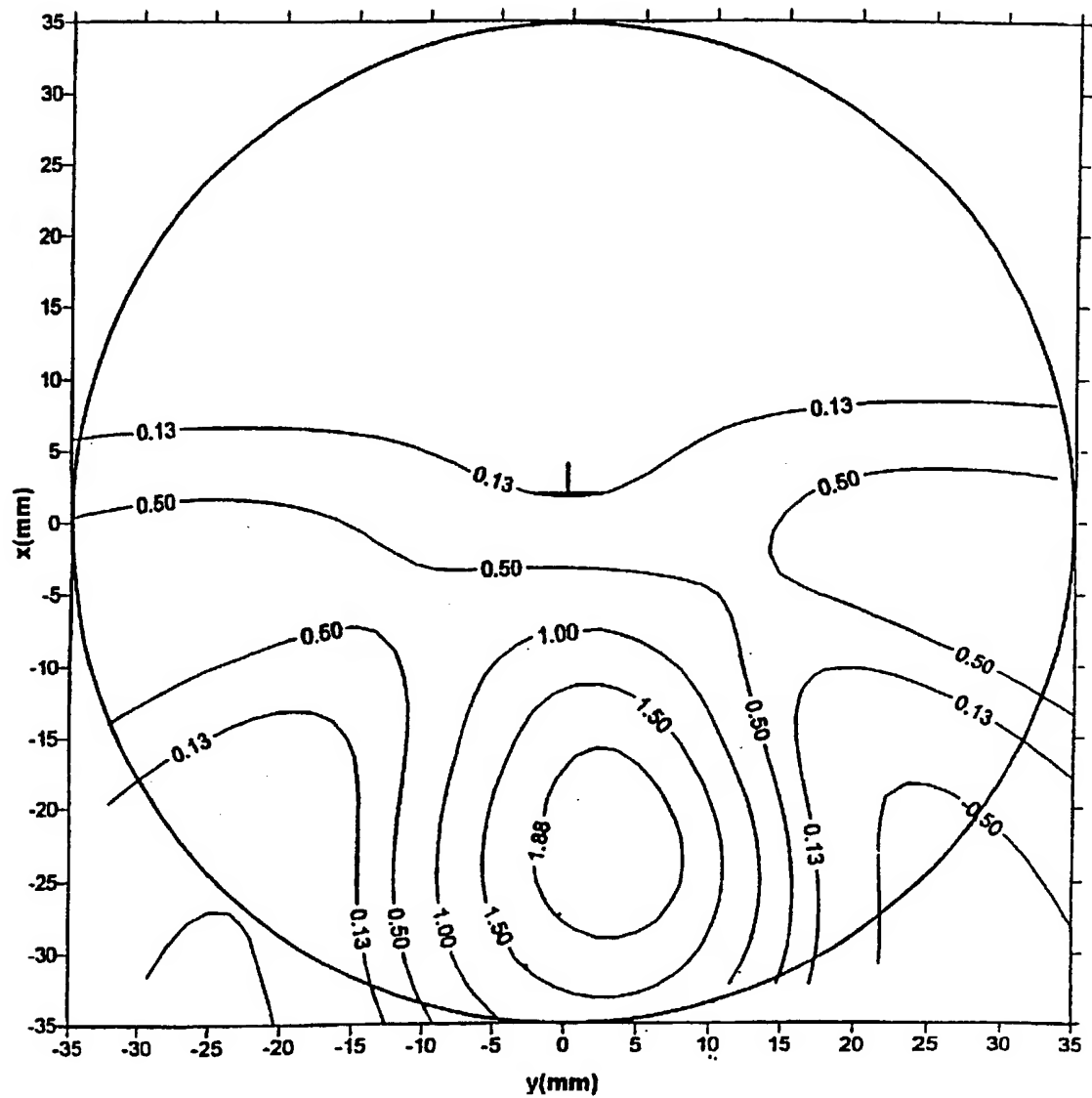
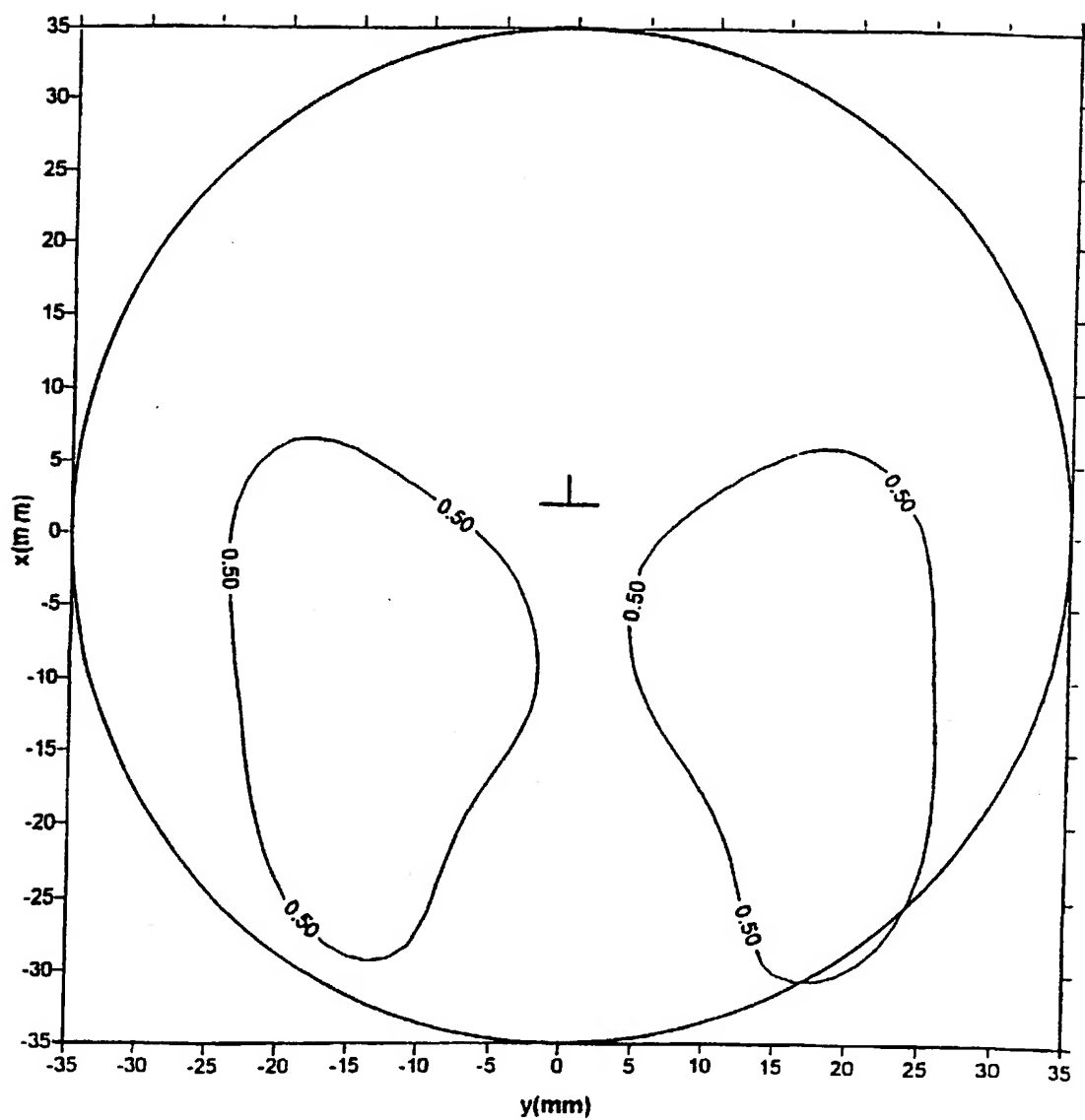


FIG. 2

SUBSTITUTE SHEET (RULE 26)

3/16

**FIG. 3**

SUBSTITUTE SHEET (RULE 26)

4/16

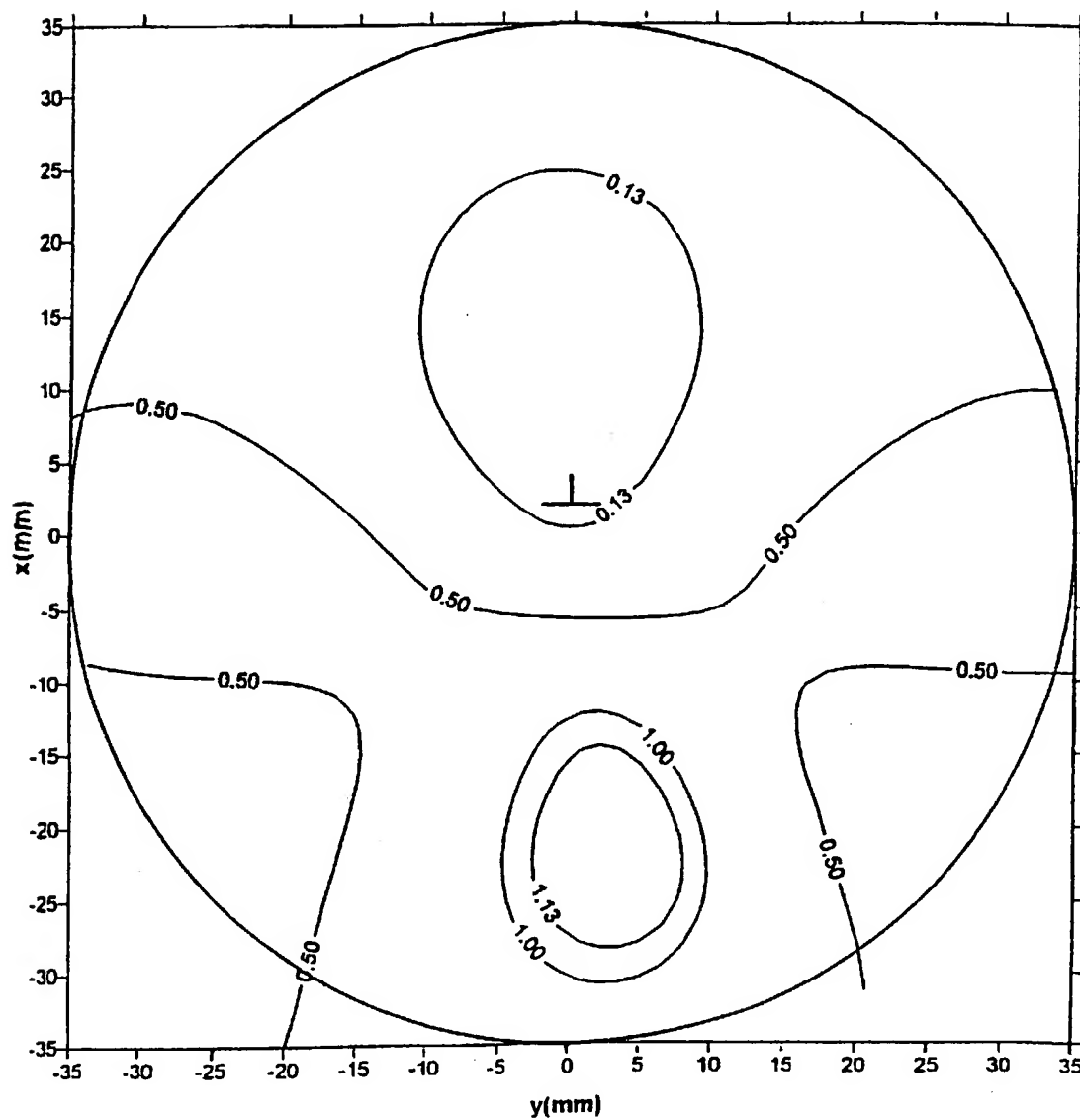


FIG. 4

SUBSTITUTE SHEET (RULE 26)



5/16

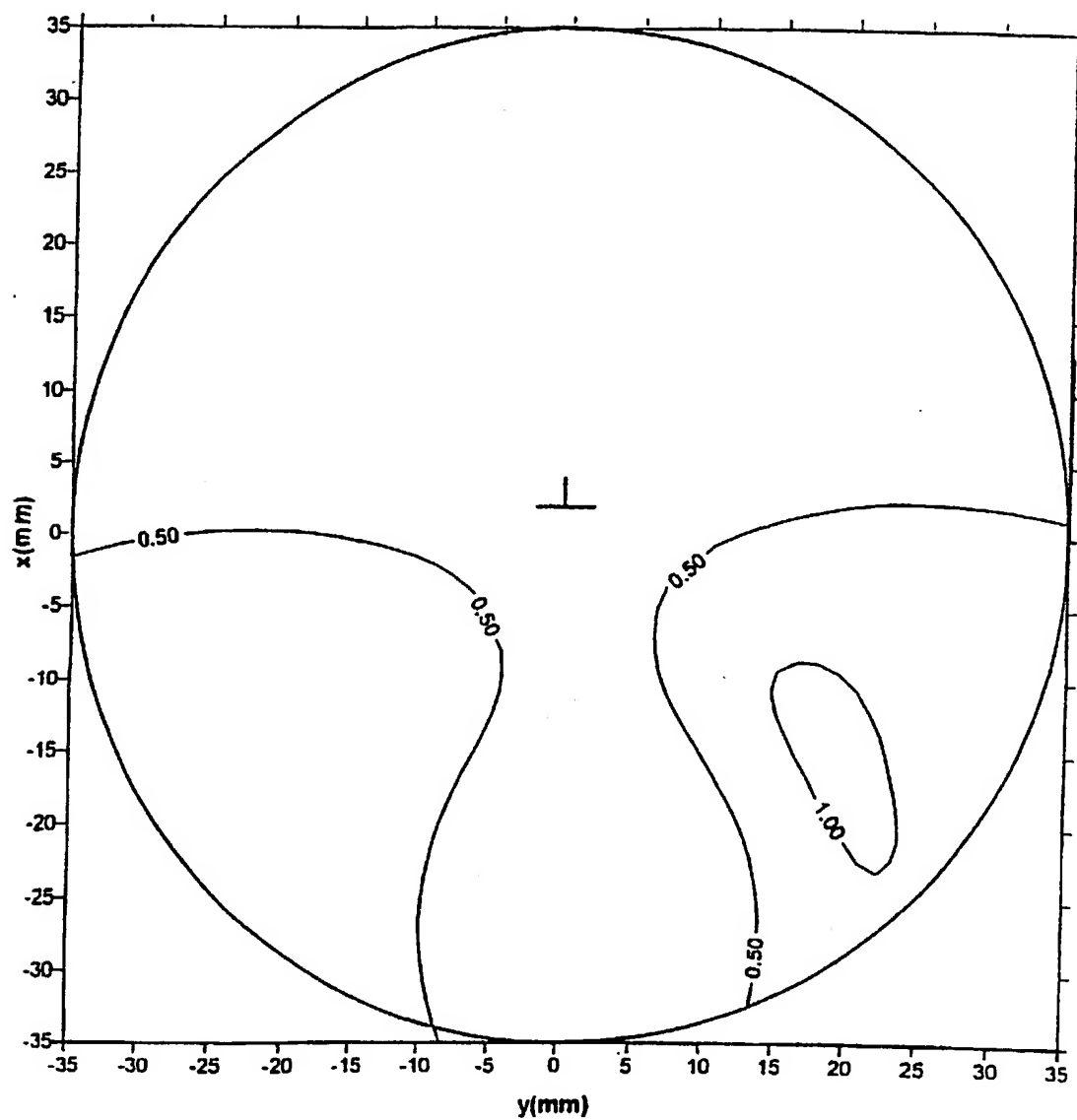


FIG. 5

SUBSTITUTE SHEET (RULE 26)

6/16

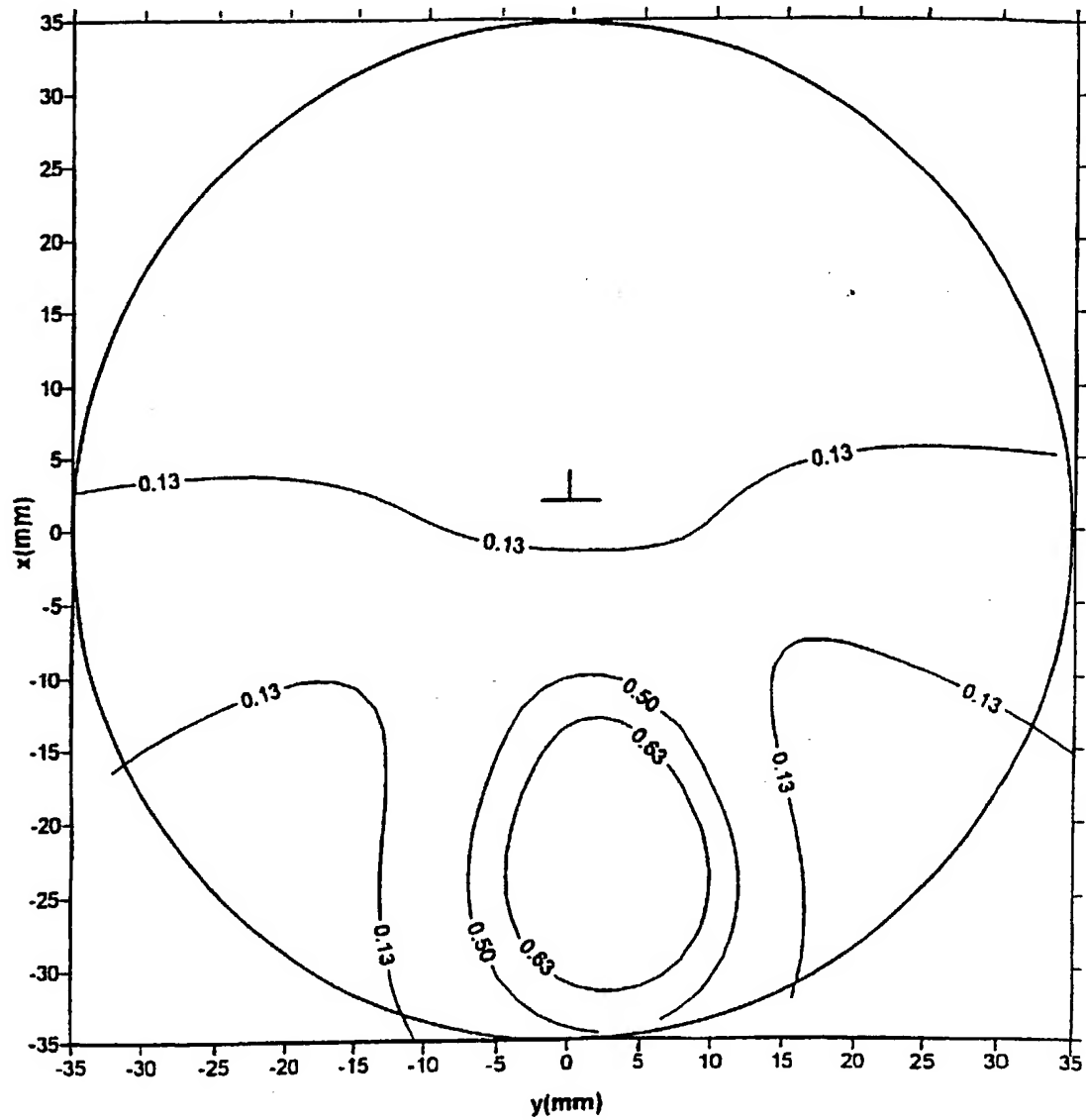


FIG. 6

SUBSTITUTE SHEET (RULE 26)

7/16

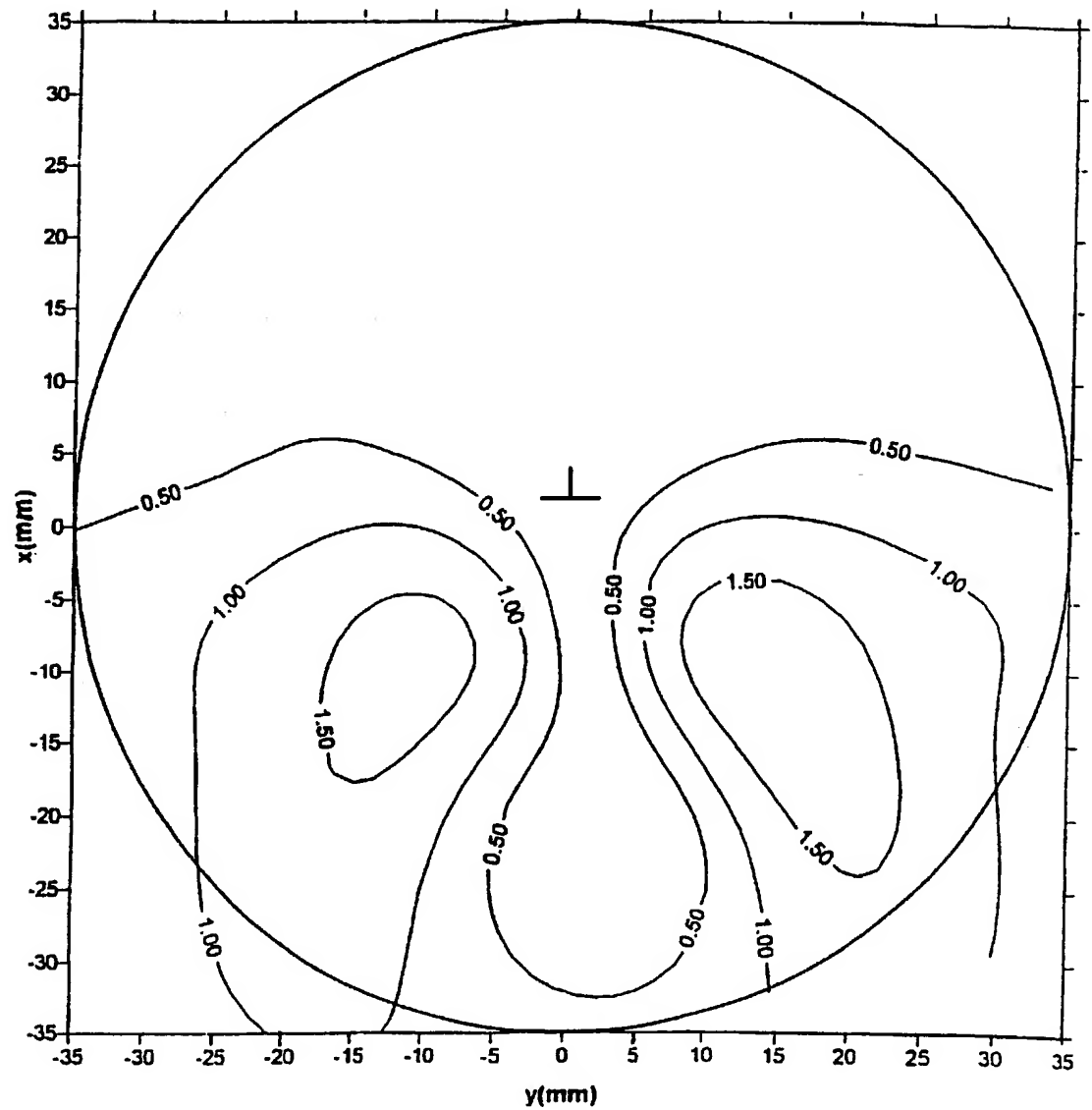
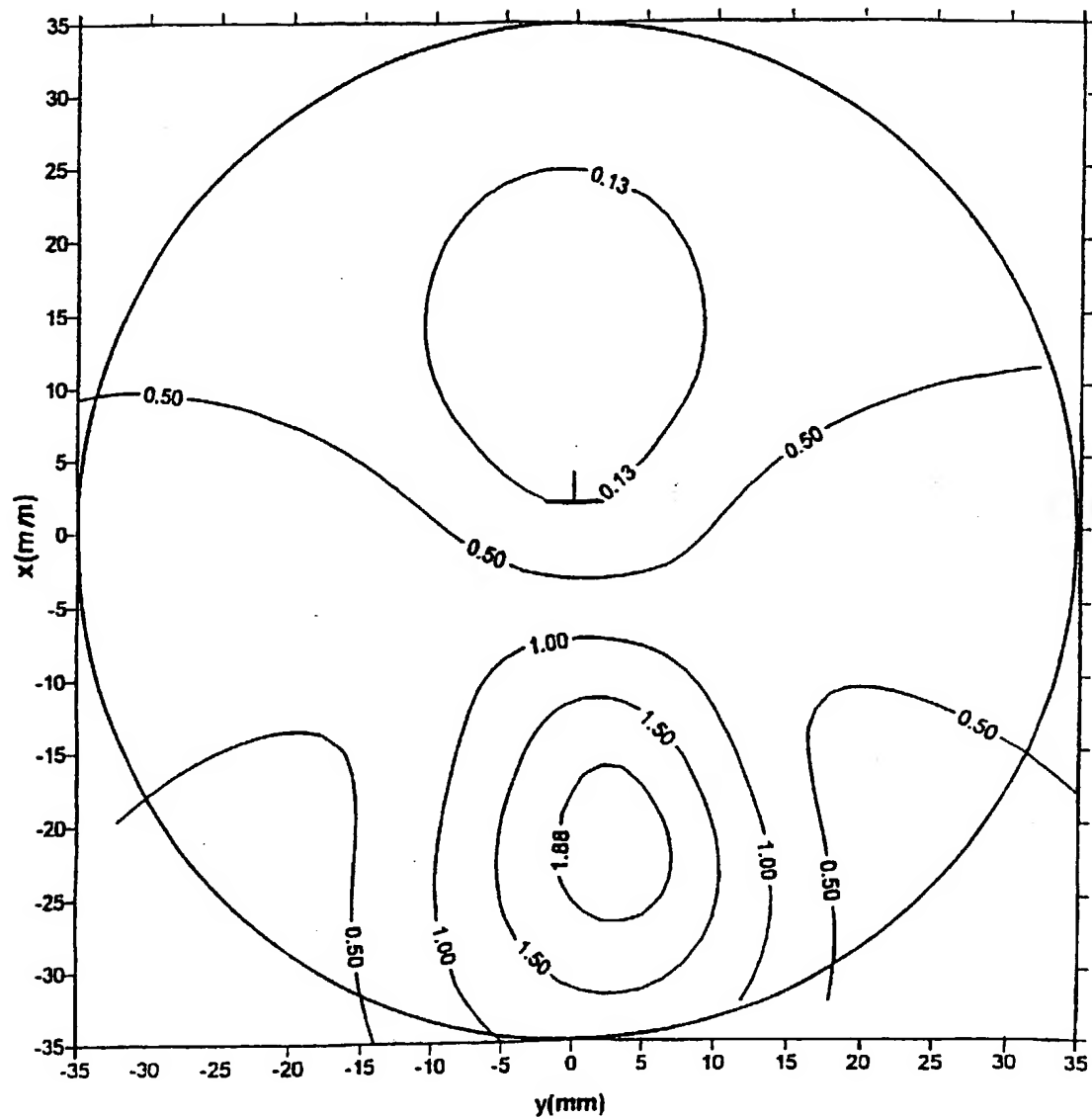


FIG. 7

SUBSTITUTE SHEET (RULE 26)

8/16

**FIG. 8**

SUBSTITUTE SHEET (RULE 26)

9/16

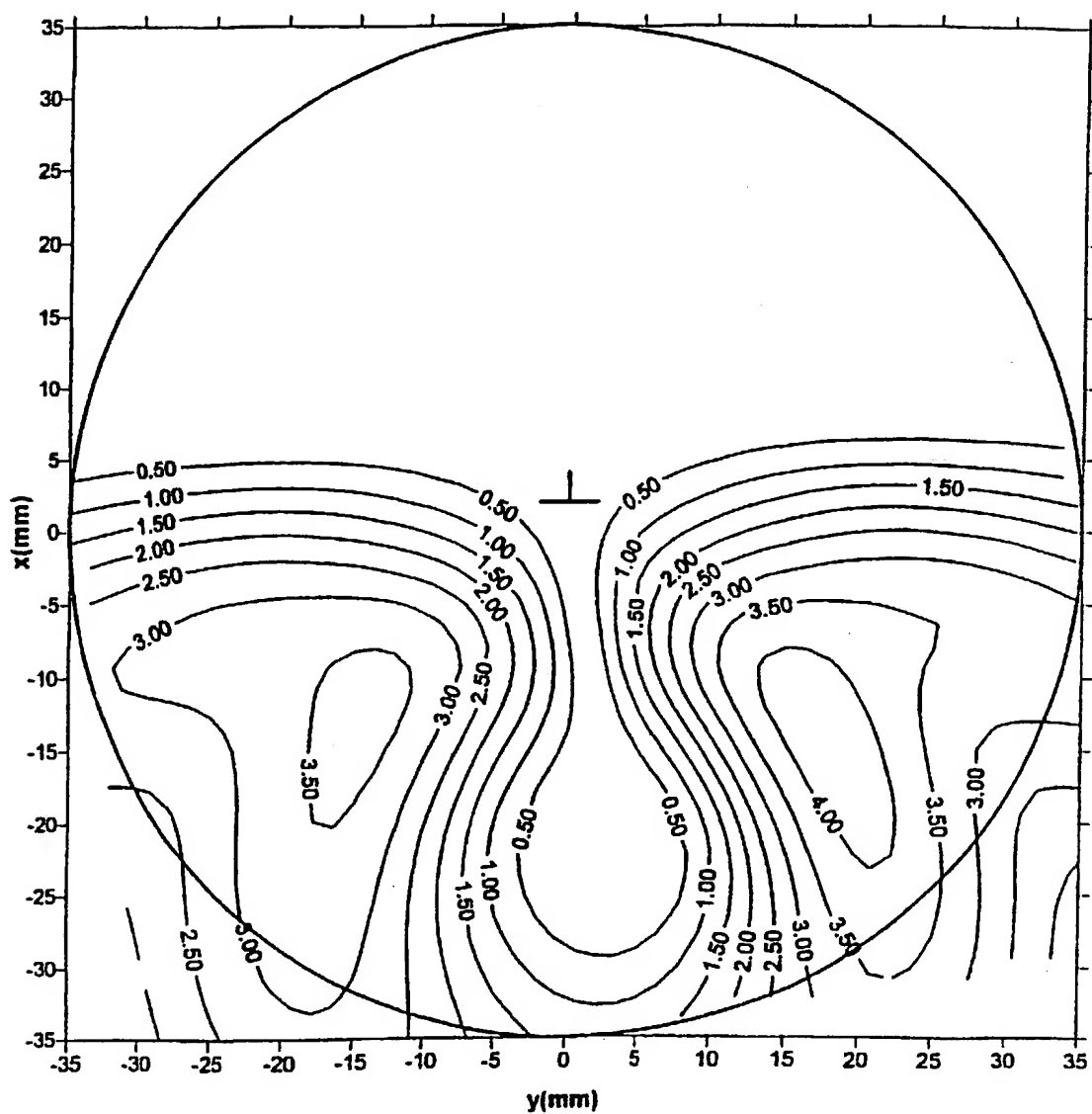


FIG. 9

SUBSTITUTE SHEET (RULE 26)

10/16

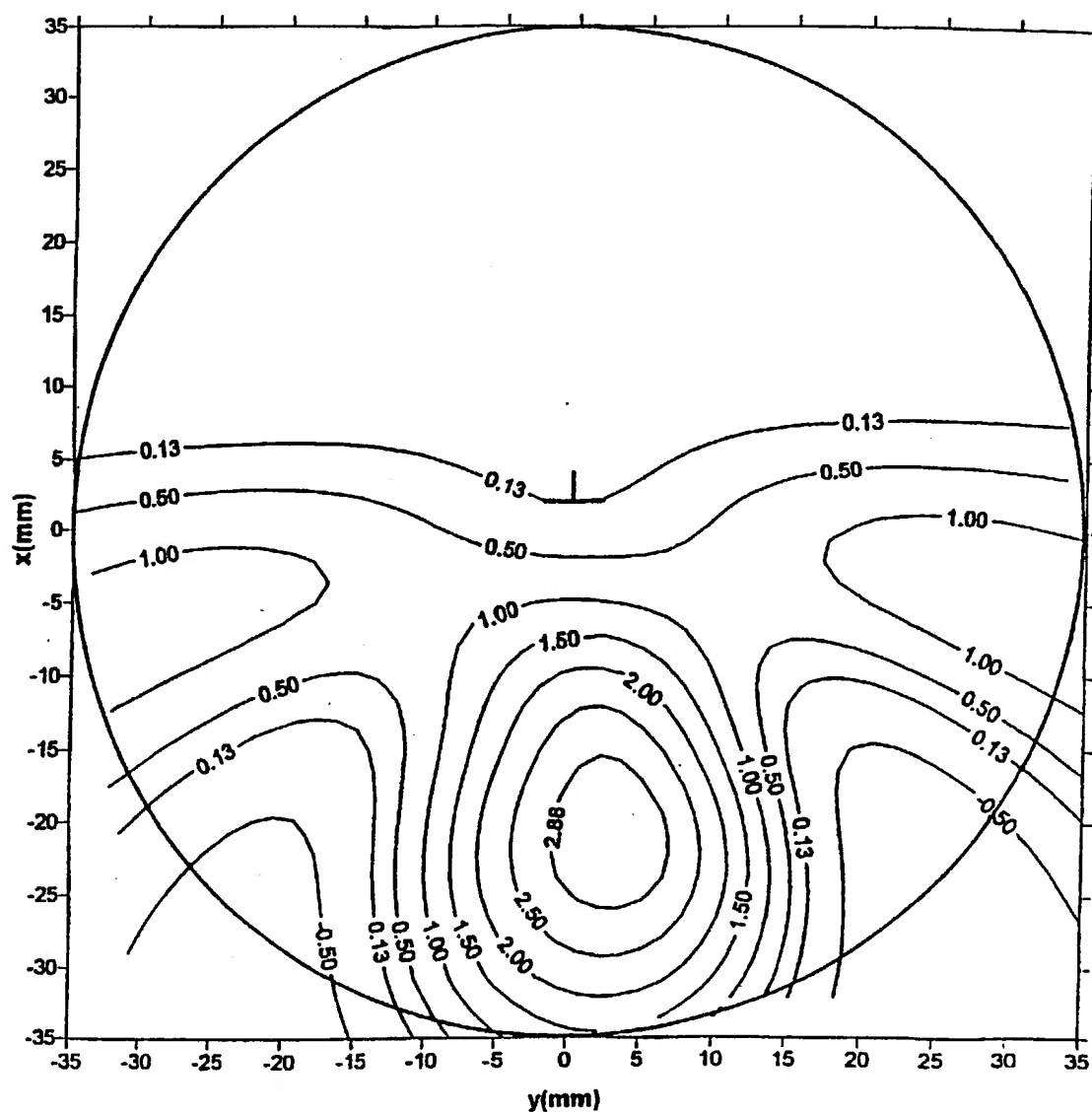


FIG. 10

SUBSTITUTE SHEET (RULE 26)

11/16

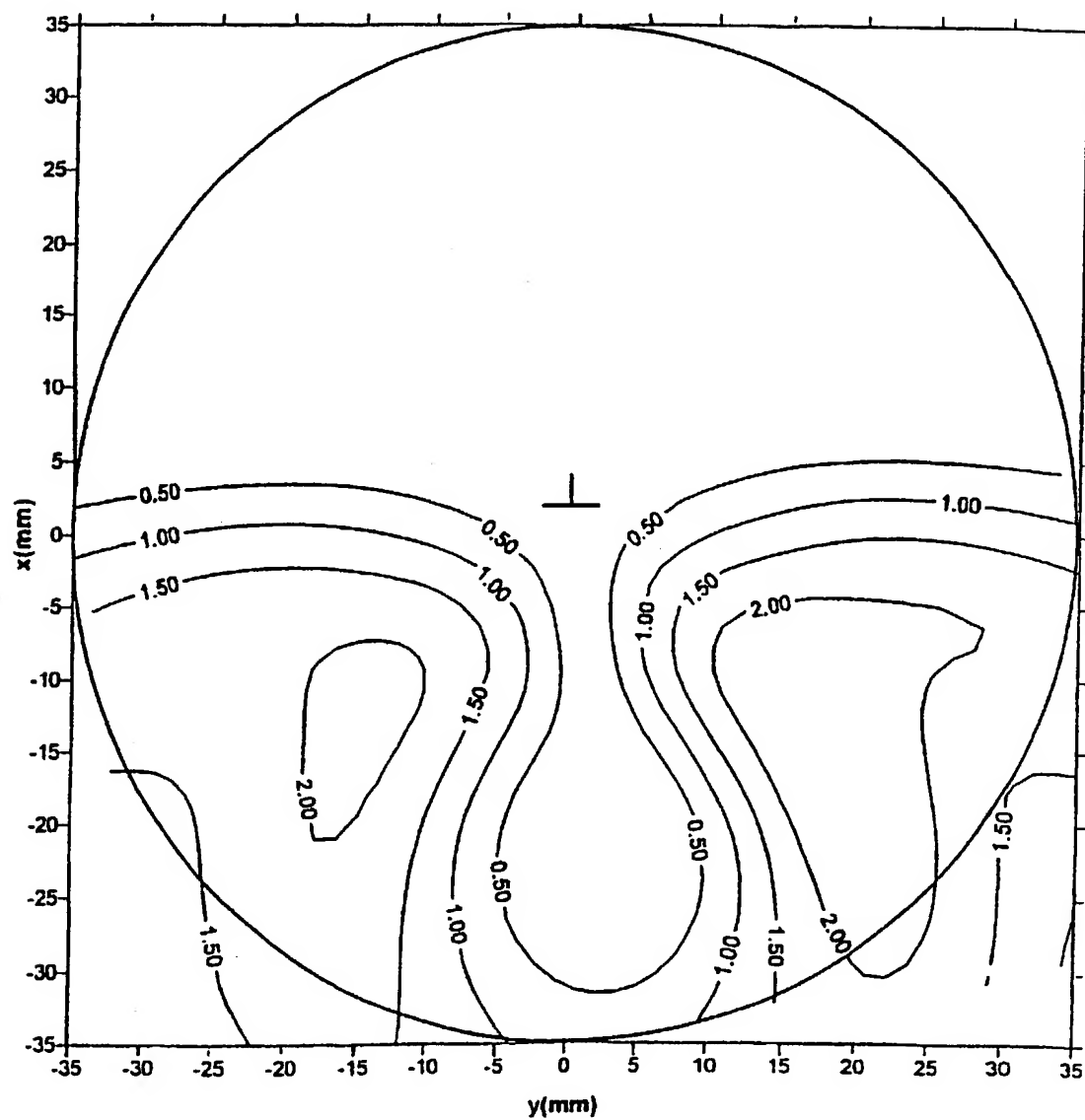


FIG. 11

SUBSTITUTE SHEET (RULE 26)

12/16

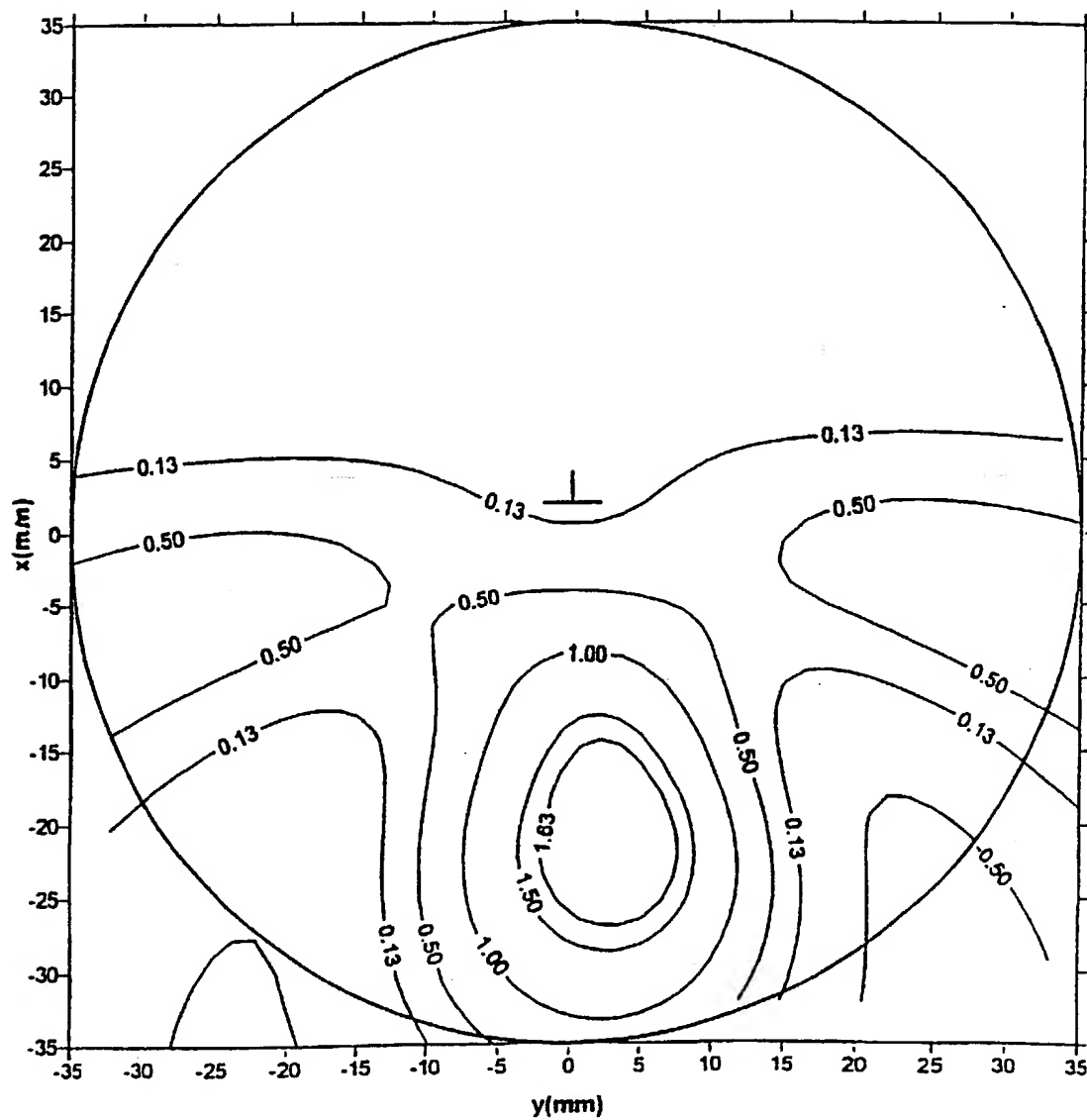


FIG. 12

SUBSTITUTE SHEET (RULE 26)



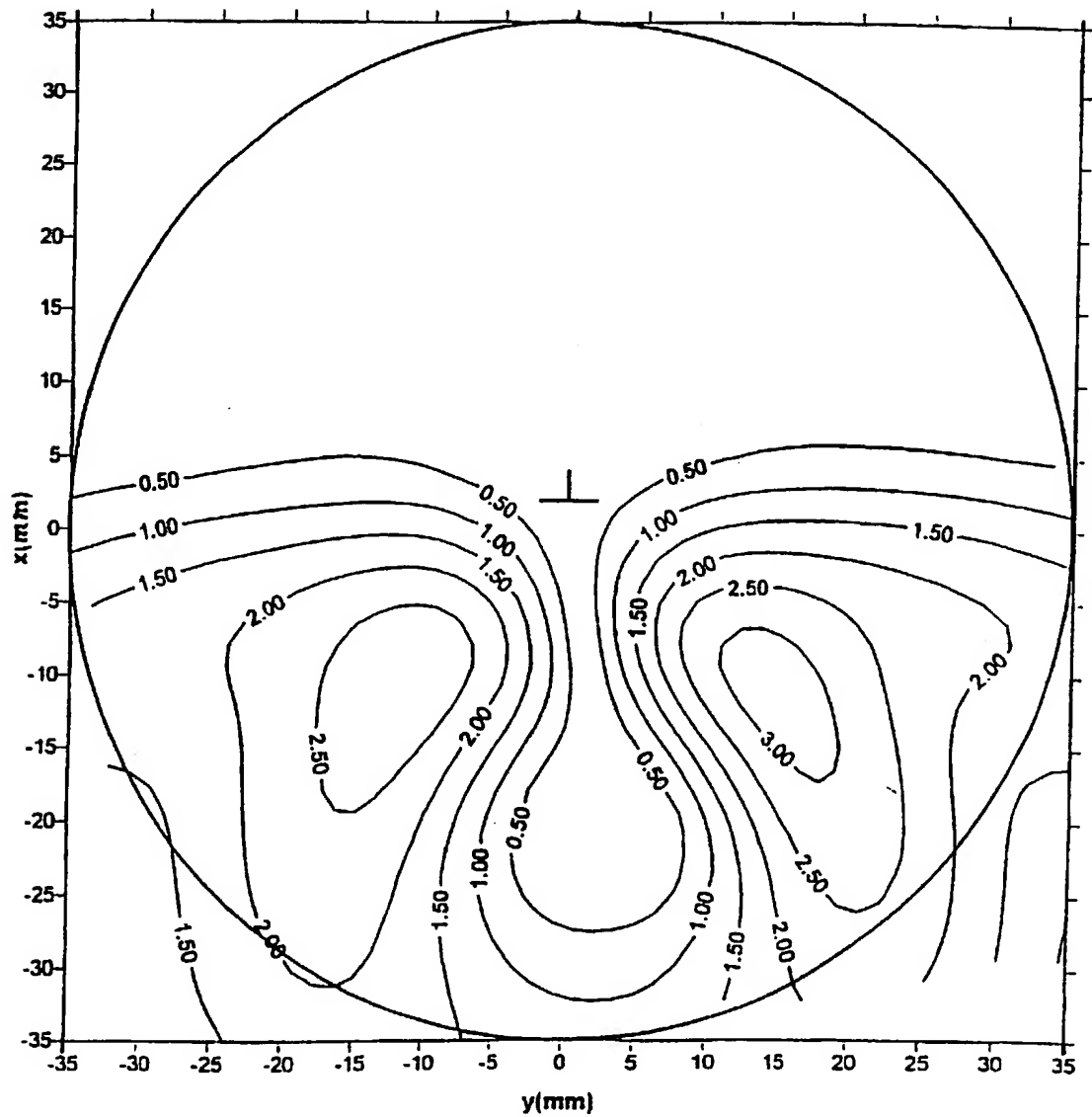


FIG. 13

SUBSTITUTE SHEET (RULE 26)

14/16

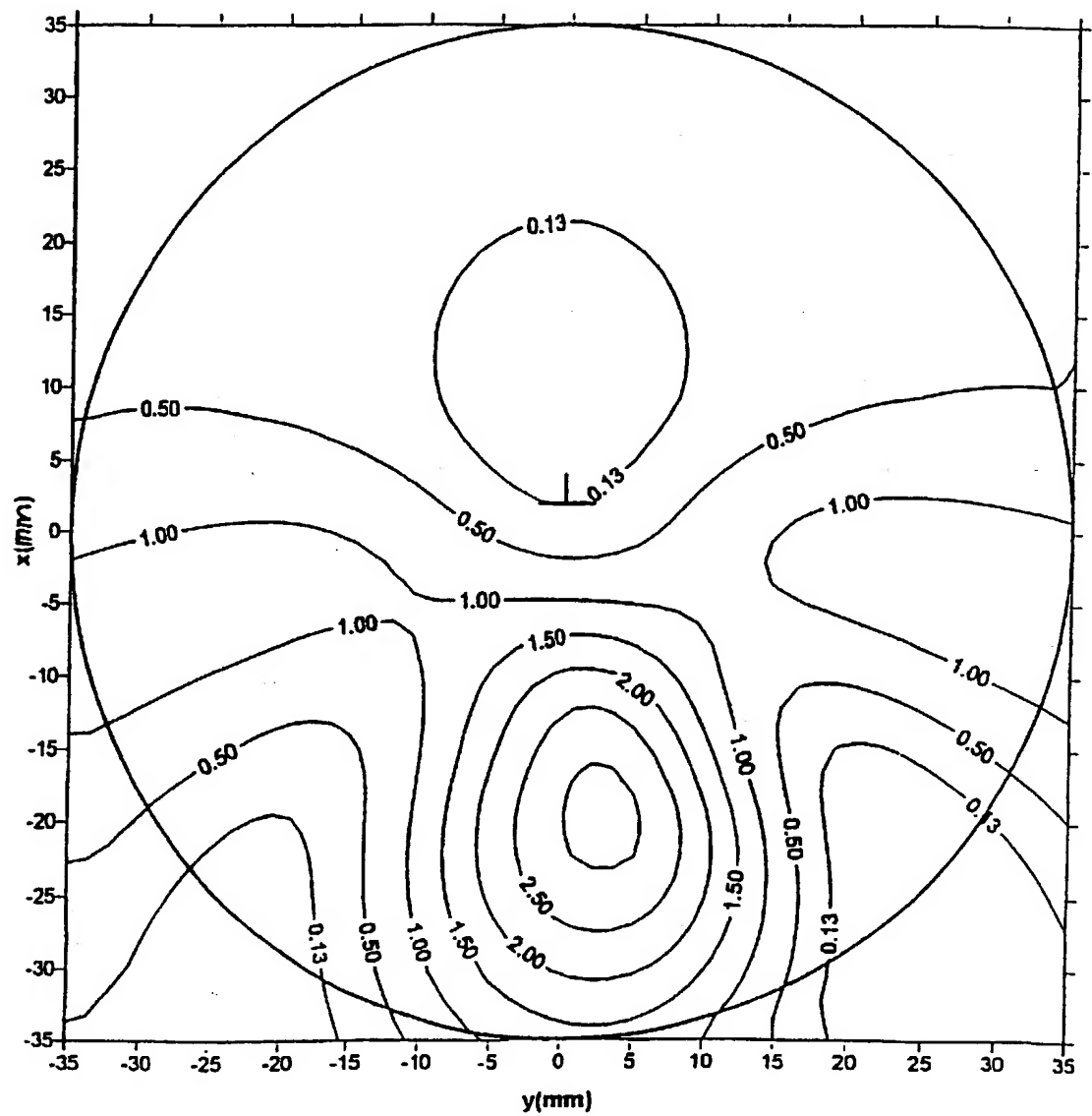


FIG. 14

SUBSTITUTE SHEET (RULE 26)

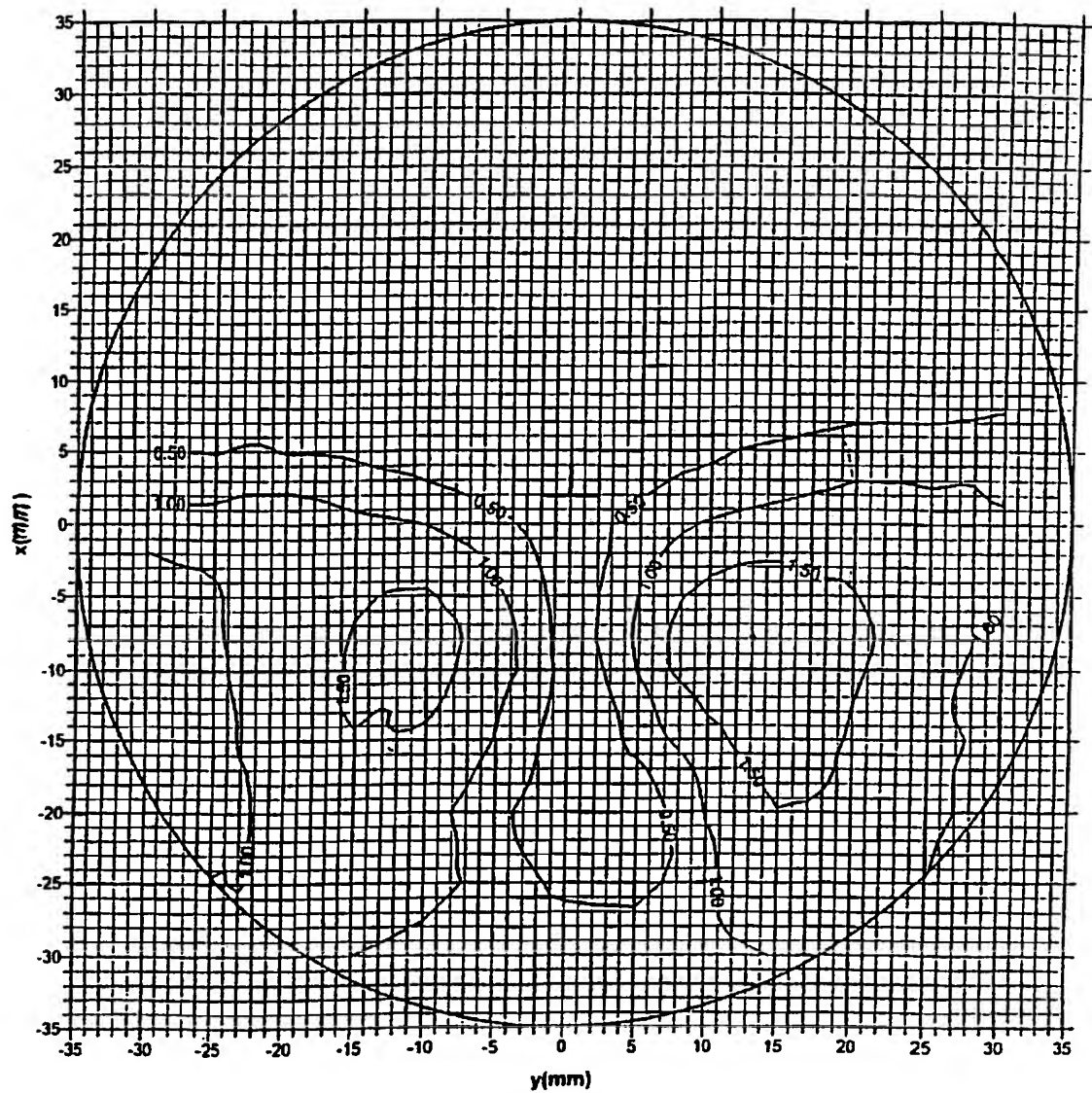
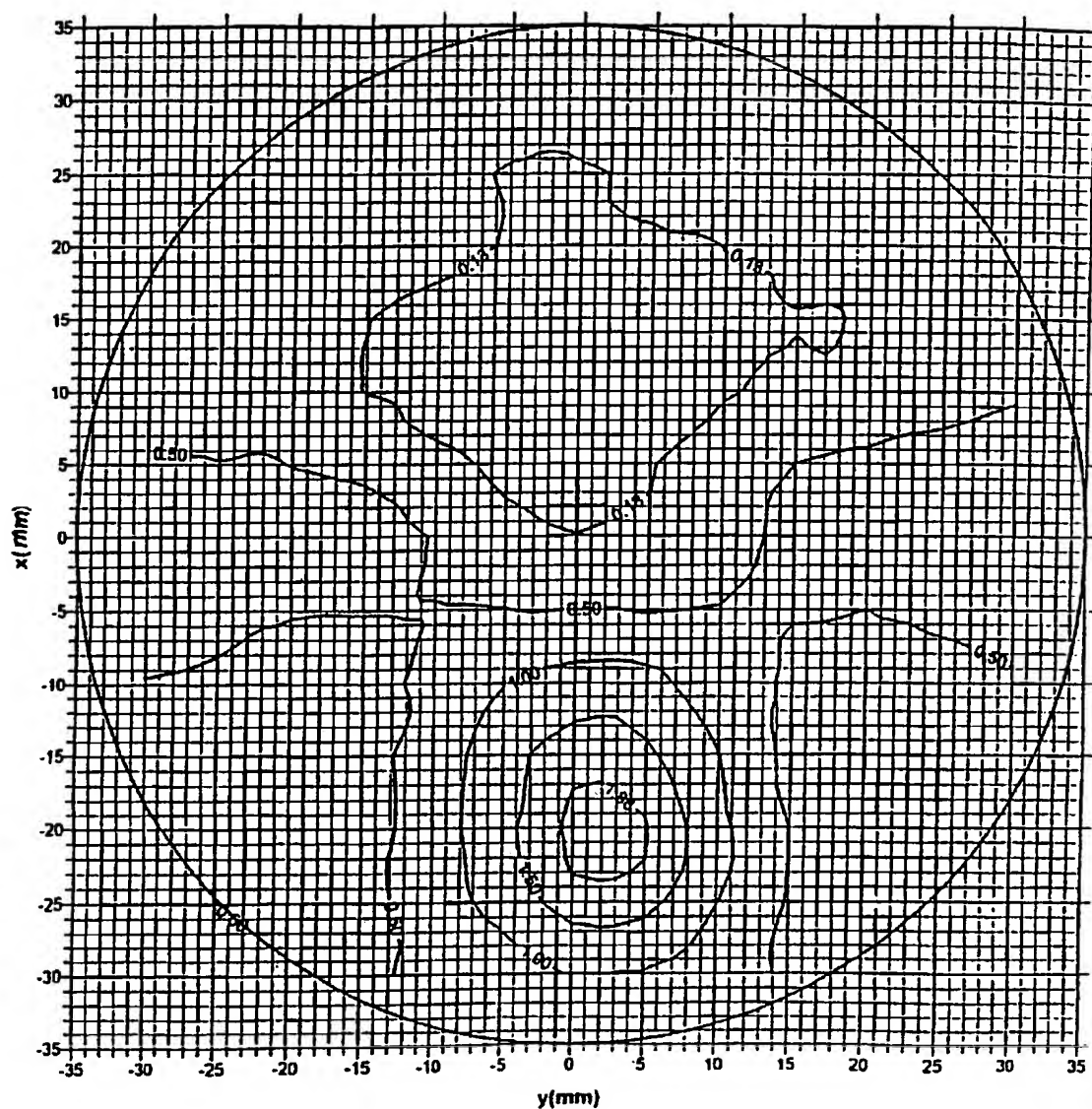


FIG. 15

SUBSTITUTE SHEET (RULE 26)



**FIG. 16**

**SUBSTITUTE SHEET (RULE 26)**

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/00059

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G02C7/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 G02C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 295 849 A (SOLA INT HOLDINGS) 21 December 1988 see page 4, line 7 - page 5, line 23 ---	1-6
A	EP 0 654 692 A (AMERICAN OPTICAL CORP) 24 May 1995 see page 2, line 43 - page 3, line 6 ---	1-6
A	EP 0 668 524 A (BMC IND INC) 23 August 1995 cited in the application see the whole document ---	1-6
A	US 4 056 311 A (WINTHROP JOHN TALLEY) 1 November 1977 cited in the application see the whole document -----	1-6

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

\*&\* document member of the same patent family

Date of the actual completion of the international search

26 May 1997

Date of mailing of the international search report

04.06.97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+ 31-70) 340-3016

Authorized officer

Sarneel, A

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/00059

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0295849 A	21-12-88	US 4838675 A	13-06-89
		DE 3854826 D	08-02-96
		DE 3854826 T	22-08-96
		JP 1063923 A	09-03-89
-----			
EP 0654692 A	24-05-95	AT 126359 T	15-08-95
		AU 592484 B	11-01-90
		AU 8260587 A	21-07-88
		CA 1299400 A	28-04-92
		DE 3751443 D	14-09-95
		DE 3751443 T	01-02-96
		EP 0271920 A	22-06-88
		ES 2075828 T	16-10-95
		JP 6067124 A	11-03-94
		JP 1046850 B	11-10-89
		JP 1700080 C	14-10-92
		JP 63192013 A	09-08-88
		NO 180068 B	28-10-96
		US 4861153 A	29-08-89
		US 5123725 A	23-06-92
-----			
EP 0668524 A	23-08-95	US 5446508 A	29-08-95
-----			
US 4056311 A	01-11-77	DE 2644450 A	07-04-77
		DE 2644510 A	14-04-77
		FR 2326718 A	29-04-77
		CA 1012390 A	21-06-77
		CH 589860 A	15-07-77
		CH 583914 A	14-01-77
		DE 2439127 A	27-02-75
		DE 2462446 A	31-03-77
		FR 2270613 A	05-12-75
		GB 1484382 A	01-09-77
		JP 1236300 C	17-10-84
		JP 50046348 A	25-04-75
		JP 57053570 B	13-11-82
		NL 7410793 A,B,	18-02-75
-----			